



Date: October 19, 2001
File No. 0153-83085

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Narumi, et al.

Group Art Unit: 2644

Serial No.: 09/909,197

Filed: July 19, 2001

For: "Removable Power Supply for an
Audio Device"

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COMMISSIONER FOR PATENTS
Washington D.C. 20231

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Sir:

Completion of Filing Requirements

Transmitted herewith in response to the Office letter dated 08/30/01 are:

- (X) Copy of the Office letter dated 08/30/01.
- (X) Declaration or oath for this application
- () Filing fee for this application.
- (X) Assignment(s) of the invention to Auto-Technica U.S., Inc.
- () Petition for Extension of Time.
- (X) Other Express Mailing Certificate (Express Label No. EL854694571US)
- (X) Other 14 sheets of formal drawings
- (X) Other Supplemental Application Data Sheet to correct given name of Inventor Two
- (X) A check in the amount of \$170 to cover the filing fee and/or surcharge and/or assignment recording fee is enclosed.
- () A check in the amount of \$ to cover the fee for recording the assignment(s) is enclosed.
- () Charge \$ _____ to Deposit Account No. 23-0920.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper amount be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606
(312) 655-1500

WELSH & KATZ, LTD.

By:


Jon P. Christensen
Registration No. 34,137



Certificate of Express Mailing

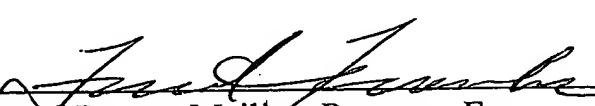
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Date of Deposit: October 19, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee. Service under 37 CFR 1.10 is on the date indicated above and addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231. The person mailing this paper is:

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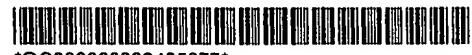
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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,197	07/19/2001	Tomoyuki Narumi	0153-83085

7-30-02

Jon P. Christensen
Wilsh & Katz, Ltd.
22nd Floor
120 South Riverside Plaza
Chicago, IL 60606

CONFIRMATION NO. 9733
FORMALITIES LETTER



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Date Mailed: 08/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PTO/SB/17 (09/00)

Approved for use through 10/31/02, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **170.00**

Complete if Known

Application Number	09/909,197
Filing Date	July 19, 2001
First Named Inventor	Narumi, et al
Examiner Name	
Group/Art Unit	2644
Attorney Docket Number	0153-83085

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2002

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																							
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number 23-0920 Deposit Account Name WELSH & KATZ, LTD. <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR. 1.16 and 1.17		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge-late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge-late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English Specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195 Extension for reply within second month</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445 Extension for reply within third month</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695 Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945 Extension for reply within fifth month</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155 Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155 Filing a Brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135 Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620 Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620 Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220 Design issue fee</td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300 Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50 Petitions related to provisional applications</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240 Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355 Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355 For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355 Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="2">SUBTOTAL (1) (\$)</td> <td colspan="2">SUBTOTAL (3) (\$)</td> <td colspan="2">(\$170.00)</td> </tr> <tr> <td colspan="6">*or number previously paid, if greater; For Reissues, see below</td> </tr> <tr> <td colspan="6"> Large Entity Fee Code (\$) Small Entity Fee Code (\$) Fee Description Fee Paid Total Claims <input type="text"/> -20**= <input type="text"/> x <input type="text"/> = <input type="text"/> Independent Claims <input type="text"/> -3**= <input type="text"/> x <input type="text"/> = <input type="text"/> Multiple Dependent Claims <input type="text"/> = <input type="text"/> </td> </tr> <tr> <td colspan="6">**or number previously paid, if greater; For Reissues, see above</td> </tr> <tr> <td colspan="6">*Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="6" style="text-align: right;">Complete (if applicable)</td> </tr> <tr> <td colspan="2">SUBMITTED BY</td> <td colspan="4"></td> </tr> <tr> <td>Name (Print/type)</td> <td colspan="2">Jon P. Christensen</td> <td>Registration No. (Attorney/Agent)</td> <td>34,137</td> <td>Telephone</td> </tr> <tr> <td>Signature</td> <td colspan="2"></td> <td></td> <td>Date</td> <td>312-655-1500</td> </tr> <tr> <td colspan="6">Oct. 19, 2001</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge-late filing fee or oath	127	50	227	25 Surcharge-late provisional filing fee or cover sheet	139	130	139	130 Non-English Specification	147	2,520	147	2,520 For filing a request for reexamination	112	920*	112	920* Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	115	110	215	55 Extension for reply within first month	116	390	216	195 Extension for reply within second month	117	890	217	445 Extension for reply within third month	118	1,390	218	695 Extension for reply within fourth month	128	1,890	228	945 Extension for reply within fifth month	119	310	219	155 Notice of Appeal	120	310	220	155 Filing a Brief in support of an appeal	121	270	221	135 Request for oral hearing	138	1,510	138	1,510 Petition to institute a public use proceeding	140	110	240	55 Petition to revive - unavoidable	141	1,240	241	620 Petition to revive - unintentional	142	1,240	242	620 Utility issue fee (or reissue)	143	440	243	220 Design issue fee	144	600	244	300 Plant issue fee	122	130	122	130 Petitions to the Commissioner	123	50	123	50 Petitions related to provisional applications	126	240	126	240 Submission of Information Disclosure Stmt	581	40	581	40 Recording each patent assignment per property (times number of properties)	146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	179	710	279	355 Request for Continued Examination (RCE)	169	900	169	900 Request for expedited examination of a design application	Other fee (specify) _____				SUBTOTAL (1) (\$)		SUBTOTAL (3) (\$)		(\$170.00)		*or number previously paid, if greater; For Reissues, see below						Large Entity Fee Code (\$) Small Entity Fee Code (\$) Fee Description Fee Paid Total Claims <input type="text"/> -20**= <input type="text"/> x <input type="text"/> = <input type="text"/> Independent Claims <input type="text"/> -3**= <input type="text"/> x <input type="text"/> = <input type="text"/> Multiple Dependent Claims <input type="text"/> = <input type="text"/>						**or number previously paid, if greater; For Reissues, see above						*Reduced by Basic Filing Fee Paid						Complete (if applicable)						SUBMITTED BY						Name (Print/type)	Jon P. Christensen		Registration No. (Attorney/Agent)	34,137	Telephone	Signature				Date	312-655-1500	Oct. 19, 2001					
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Narumi et al.

Serial No.: 09/909,197

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Filed: 7/19/01

JUL 25 2002

Examiner: N/A

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For: **Removable Power Supply for an Audio Device**

Fax No. 703 / 746-7288

Commissioner for Patents
Washington, D.C. 20231

CERTIFICATION OF MAILING

I hereby certify that the following Response to Notice to File Missing Parts which was filed on October 19, 2001 is being deposited as First Class Mail with the U.S. Postal Service to the Patent and Trademark Office, Washington, DC 20231 on the date shown below:

Dated: July 10, 2002

A handwritten signature in black ink, appearing to read "Kim Annel".

Kim Annel

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Mailed Oct. 19, 2001
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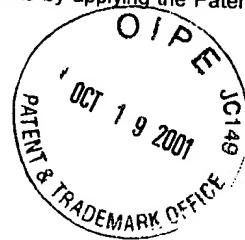
In re U.S. Patent Application
Serial No. 09/909,197
Filed July 19, 2001
Tomoyuki NARUMI et al.
"Removable Power Supply for
an Audio Device"

Certificate of Express Mailing; Completion of Filing Requirements; Copy of Notice to File Missing Parts dated 08/30/01; Executed Declaration; Assignment and Recordation Form Cover Sheet; 14 sheets of formal drawings; Supplemental Application data sheet; Fee transmittal sheet; fee check \$170; Postcard receipt.

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REF #

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